

INITIAL CRES PROVIDER REGISTRATION APPLICATION
for Power Marketer Services in Ohio Power Company d/b/a AEP Ohio

Application for Competitive Retail Electric Service (CRES) Provider registration ONLY as a certified Power Marketer in AEP Ohio (the "Company") rate jurisdictions: Columbus Southern Power and Ohio Power. The Applicant shall scan and send via email this completed and signed application and accompanying financial information to:

cresregistration@aep.com

The Applicant will not be registered as a Power Marketer in AEP Ohio's service territory until (i) the Applicant's FULLY COMPLETED application is approved, (ii) AEP Ohio applicable agreements are executed, and (iii) all other registration requirements are completed. Applicant must reapply on an annual basis and update the application information. A non-refundable registration fee of \$356.00 will be assessed once this application and subsequent renewal applications are received.

This is NOT an application to be a Power Broker, Billing Agent, Meter Service Provider, Meter Data Management Agent or Governmental Aggregator.

1. Applicant

CRES Provider Name (Legal Name) _____
State of Incorporation _____ Years in Business _____
Federal Tax ID _____ D&B Duns # _____
Trade Name(s) [if different from Legal Name,
include Ohio trade name filing(s)] _____
Ohio Registered Agent for Service of Process _____

Entity Type ☐ Corporation - Public
☐ Corporation - Private
☐ Partnership (attach list of partners, general and limited)
☐ Limited Liability Company
☐ Other (Please indicate type below) _____

NERC ID _____

CRES Internet Web Site Domain _____

Parent Company Information:

Parent Company Legal Name _____ State of Incorporation _____
Parent Internet Web Site Domain _____

Applicant Primary Contact:

First and Last Name _____
Title _____
Address _____
City, State, Zip Code _____
E-mail Address _____
Telephone _____

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2. CRES Provider Information

Type of CRES Provider
Provider (Mark all that apply)

- ☐ Power Marketer/Energy Service
☐ Billing Agent
☐ Meter Service Provider
☐ Meter Data Management Agent

Billing Provider Information:

Name

Address

City, State, Zip Code

Billing Type (Select all
Separately) that apply. Election made
at customer SDI level)

- ☐ Dual Billing (Both CRES and the Company Bill Customer
☐ Bill Ready Company Consolidated Billing
☐ Rate Ready Company Consolidated Billing

Customer Class(es) marketer
will serve (Mark all that apply)

- ☐ Residential
☐ Mercantile
☐ Commercial
☐ Industrial

Power Marketer Maximum Anticipated Peak Summer Load _____ (In MW)
(Estimate required. Value must be greater than zero.)

PJM Account Information:

PJM Short Name*:

PJM Long Name:

Org ID:

Third Party Supply Partner Associated to
PJM Subaccount (if applicable)**:

* PJM account must be specific to only AEP Ohio load

** Declaration of Authority (DOA) may be required.

(Ohio Choice Operations must be notified of any changes to the above PJM information)

Scheduling/BLIT Contact Information (multiple contacts are permitted):

First and Last Name

Title

E-mail Address

Telephone

PUCO Certification:

Applicant's PUCO Certification Application Case Number

PUCO Certificate Number

Dispute Confirmation:

Please confirm Applicant's dispute resolution process for customer complaints and attach document.

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3. Credit Information

Credit or Financial Contact Person:

First and Last Name

Title

Address

City, State, Zip Code

E-mail Address

Telephone

4. Financial And Credit Requirements

AEP Ohio will assess the credit risks associated with each applicant and at its sole discretion, determine the appropriate collateral that it will require of the applicant, if any. Collateral may be reassessed at any time based on a change in financial risks. Please refer to the Company's Retail Tariff for additional information.

Provide the following information for the applicant or its guarantor (if applicable):

a) Three most recent years of audited financial statements (balance sheet, income statement, and cash flow statement) or SEC Form 10-K. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted). If this is applicant's first year in business then the applicant may provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement), along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecast should be in an annualized format for the two years succeeding the Application year.

b) Most recent quarterly unaudited financial statements or monthly financial information (including a balance sheet, income statement, and cashflow statement) accompanied by an attestation by Applicant's Chief Financial Officer that the information submitted is true, correct and a fair representation of Applicant's financial condition or most recent SEC Form 10-Q.

		(Insert N/R if not rated)	
c) Senior Unsecured Debt Rating	Moody's	_____	S&P _____
(or, if unavailable, Corporate	Fitch	_____	
Issuer Rating)			

d) Parent's Senior Unsecured Debt	Moody's	_____	S&P _____
Rating (or, if unavailable, Corporate	Fitch	_____	
Issuer Rating)			

e) Provide a description of the Applicant's **Corporate Structure** (not an internal organizational chart), including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

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5. Representations

Applicant

Affiliates

Is the Applicant and/or its affiliates:

Yes

No

Yes

No

a) Operating under federal bankruptcy laws?

☐☐☐☐

b) Subject to pending litigation or regulatory proceedings in state or federal courts and/or agencies which could impact Applicant and/or Applicant's affiliates?

☐☐☐☐

c) Subject to lawsuits or outstanding judgments?

☐☐☐☐

Attach information for any items above marked "Yes".

Disclose all prior bankruptcies of Applicant and its affiliates

6. Financial Security Arrangements

When applicable, if the Applicant does not provide the Company with adequate evidence of creditworthiness, the Applicant must provide and maintain financial security in a form and/or amount acceptable to the Company. to the Company. Additional detail on credit requirements is mentioned in the section titled "CRES Provider Credit Requirements" of the Tariff. Financial security formats are located on AEP Ohio's website at <https://www.aepohio.com/account/service/choice/cres/Register.aspx>. Any changes to the prescribed security format must be approved by the Company prior to issuance. Please mark the form of financial security proposed to be posted and provide additional information as requested below.

☒

Cash

☐

Guaranty

Guarantor's Legal Name _____

Senior Unsecured Debt Rating
(or, if unavailable, Corporate Issuer Rating)Moody's
Fitch

S&P

☐

Letter of Credit

Issuer Bank's Legal Name _____

Senior Unsecured Debt Rating
(or, if unavailable, Corporate Issuer Rating)Moody's
Fitch

S&P

☐

Surety Bond

Insurer's Legal Name _____

Long-term Issuer Credit Rating

AM Best

Senior Unsecured Debt Rating

Moody's

(or, if unavailable, Corporate Issuer Rating)

Fitch

S&P

7. EDI Contact Information - Delivery Transport Mechanism is via Internet

EDI Vendor

Vendor Contact Name & Title

Address

City, State, Zip Code

E-mail Address

Telephone

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8. Customer Service Information

Customer Service Supervisor Name

E-Mail Address

24 Hour Customer Service Toll Free Number

Remittance Address

City, State, Zip Code

9. Certification, Authorization, and Signature:

Applicant certifies that it will notify AEP Ohio's Customer Choice Operations, at the email address shown on page 1, if any material, financial, or credit application information changes.

Applicant certifies that the information herein is complete and accurate to the best of Applicant's knowledge, information and belief, and that the individual signing below is an authorized representative of the Applicant. Applicant further certifies that Letters of Authorization (LOA), as found on the AEP Ohio website, will be obtained from customers in accordance with Ohio Administrative Code and/or PUCO Rules; and Applicant will retain and submit LOA's to the Company upon request by the Company. Applicant further acknowledges and certifies that it, as well as it's contracted EDI Vendor or In-house EDI Service will maintain necessary and timely communication and responses with AEP and also between the CRES Provider and the EDI Vendor, throughout EDI Certification Testing; and that if found to be unresponsive or uncooperative during Testing, the CRES Provider (and its contracted EDI Vendor or In-house EDI Service) will be removed from the ongoing EDI Certification Flight Test and the pending CRES Provider registration will be suspended. Applicant further certifies that it will not act as a Power Broker, Billing Agent, Meter Service Provider, Meter Data Management Agent or Governmental Aggregator under or in connection with this Power Marketer only application.

Applicant hereby authorizes the Company to obtain any information that may be required relative to this Application from any source, including Applicant's financial and trade references. Applicant also hereby authorizes each source to provide such information.

Legal Name of Applicant



By marking this check box you are providing a facsimile of your signature as an authorized representative of the Applicant, and acknowledging and affirming the statements above.

Name:

Title:

Date: