

**ANNUAL CRES PROVIDER REGISTRATION RENEWAL APPLICATION**  
**for Power Marketer Services in Ohio Power Company d/b/a AEP Ohio**

Renewal Application for Competitive Retail Electric Service (CRES) Provider registration ONLY as a certified Power Marketer in AEP Ohio (the "Company") rate jurisdictions: Columbus Southern Power and Ohio Power. The Applicant shall scan and send via email this completed and signed application and accompanying financial information to:

[cresregistration@aep.com](mailto:cresregistration@aep.com)

The Applicant's renewal will not be completed in AEP Ohio's service territory until (i) the Applicant's FULLY COMPLETED application is approved, and (ii) all other registration renewal requirements are completed. Applicant must reapply on an annual basis and update the application information.

A non-refundable registration fee of \$100.00 will be assessed once this application is received.

This is NOT an application to be a Power Broker, Billing Agent, Meter Service Provider, Meter Data Management Agent or Governmental Aggregator.

**1. Applicant**

CRES Provider Name (Legal Name) \_\_\_\_\_  
State of Incorporation \_\_\_\_\_ Years in Business \_\_\_\_\_  
Federal Tax ID \_\_\_\_\_ D&B Duns # \_\_\_\_\_  
Trade Name(s) [if different from Legal Name,  
include Ohio trade name filing(s)] \_\_\_\_\_  
Ohio Registered Agent for Service of Process \_\_\_\_\_

Entity Type \_\_\_\_\_  
\_\_\_\_\_ Corporation - Public  
\_\_\_\_\_ Corporation - Private  
\_\_\_\_\_ Partnership (attach list of partners, general and limited)  
\_\_\_\_\_ Limited Liability Company  
\_\_\_\_\_ Other (Please indicate type below)

NERC ID \_\_\_\_\_

CRES Internet Web Site Domain \_\_\_\_\_

**Parent Company Information:**

Parent Company Legal Name \_\_\_\_\_ State of Incorporation \_\_\_\_\_  
Parent Internet Web Site Domain \_\_\_\_\_

**Applicant Primary Contact:**

First and Last Name \_\_\_\_\_  
Title \_\_\_\_\_  
Address \_\_\_\_\_  
City, State, Zip Code \_\_\_\_\_  
E-mail Address \_\_\_\_\_  
Telephone \_\_\_\_\_

**ANNUAL CRES PROVIDER REGISTRATION RENEWAL APPLICATION**

for Power Marketer Services in AEP Ohio Territory

Page 2

**2. CRES Provider Information**

***PJM Account Information:***

PJM Short Name\*: \_\_\_\_\_

PJM Long Name: \_\_\_\_\_

Org ID: \_\_\_\_\_

Third Party Supply Partner Associated to  
PJM Subaccount (if applicable)\*\*: \_\_\_\_\_

\* PJM account must be specific to only AEP Ohio load

\*\* Declaration of Authority (DOA) may be required.

(Ohio Choice Operations must be notified of any changes to the above PJM information)

Applicant's PUCO Certification Application Case Number

PUCO Certificate Number

\_\_\_\_\_

\_\_\_\_\_

**3. Bank Transfer Information**

Bank Name: \_\_\_\_\_ ABA No.: \_\_\_\_\_

Contact: \_\_\_\_\_ Account No.: \_\_\_\_\_

Address: \_\_\_\_\_ Telephone: \_\_\_\_\_

City, State, Zip Code: \_\_\_\_\_

Account Type: (Select One)  Direct Deposit Checking  Direct Deposit Savings

Name on Account: \_\_\_\_\_

Payment Method:  Automated Clearing House

**4. Credit Information**

***Credit or Financial Contact Person:***

First and Last Name \_\_\_\_\_

Title \_\_\_\_\_

Address \_\_\_\_\_

City, State, Zip Code \_\_\_\_\_

E-mail Address \_\_\_\_\_

Telephone \_\_\_\_\_

**5. EDI Contact Information- Delivery Transport Mechanism is via Internet**

EDI Vendor \_\_\_\_\_

Vendor Contact Name & Title \_\_\_\_\_

Address \_\_\_\_\_

City, State, Zip Code \_\_\_\_\_

E-mail Address \_\_\_\_\_

Telephone \_\_\_\_\_

**ANNUAL CRES PROVIDER REGISTRATION RENEWAL APPLICATION**

for Power Marketer Services in AEP Ohio Territory

Page 3

**6. Financial And Credit Requirements**

AEP Ohio will perform ongoing assessment of the credit risks associated with each applicant and at its sole discretion, determine the appropriate collateral that it will require of the applicant, if any. Collateral may be reassessed at any time based on a change in financial risks. Please refer to the Company's Retail Tariff for additional information.

Provide the following information for the applicant or its guarantor (if applicable):

a) Most recent year of audited financial statements (balance sheet, income statement, and cash flow statement) or SEC Form 10-K. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted). If this is applicant's first year in business then the applicant may provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement), along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecast should be in an annualized format for the two years succeeding the Application year.

b) Most recent quarterly unaudited financial statements or monthly financial information (including a balance sheet, income statement, and cashflow statement) accompanied by an attestation by Applicant's Chief Financial Officer that the information submitted is true, correct and a fair representation of Applicant's financial condition or most recent SEC Form 10-Q.

c) Senior Unsecured Debt Rating (or, if unavailable, Corporate Issuer Rating) (Insert N/R if not rated)

Moody's	_____	S&P	_____
Fitch	_____		

d) Parent's Senior Unsecured Debt Rating (or, if unavailable, Corporate Issuer Rating) (Insert N/R if not rated)

Moody's	_____	S&P	_____
Fitch	_____		

e) Provide a description of the applicant's corporate structure (not an internal organizational chart), including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

**ANNUAL CRES PROVIDER REGISTRATION RENEWAL APPLICATION**

for Power Marketer Services in AEP Ohio Territory

Page 4

**7. Representations**

Is the Applicant and/or its affiliates:	Applicant		Affiliates	
	Yes	No	Yes	No
a) Operating under federal bankruptcy laws?	_____	_____	_____	_____
b) Subject to pending litigation or regulatory proceedings in state or federal courts and/or agencies which could impact Applicant and/or Applicant's affiliates?	_____	_____	_____	_____
c) Subject to lawsuits or outstanding judgments?	_____	_____	_____	_____

Attach information for any items above marked "Yes".

Disclose all prior bankruptcies of Applicant and its affiliates

\_\_\_\_\_

\_\_\_\_\_

**8. Financial Security Arrangements**

When applicable, if the Applicant does not provide the Company with adequate evidence of creditworthiness, the Applicant must provide and maintain financial security in a form and/or amount acceptable to the Company. Additional detail on credit requirements is mentioned in the section titled "CRES Provider Credit Requirements" of the Tariff. Financial security formats are located on AEP Ohio's website at <https://www.aepohio.com/account/service/choice/cres/Register.aspx>. Any changes to the prescribed security format must be approved by the Company prior to issuance. Please mark the form of financial security proposed to be posted and provide additional information as requested below.

\_\_\_ Cash

\_\_\_ Guaranty

    Guarantor's Legal Name \_\_\_\_\_

    Senior Unsecured Debt Rating \_\_\_\_\_ Moody's \_\_\_\_\_ S&P \_\_\_\_\_

    (or, if unavailable, Corporate Issuer Rating) Fitch \_\_\_\_\_

\_\_\_ Letter of Credit

    Issuer Bank's Legal Name \_\_\_\_\_

    Senior Unsecured Debt Rating \_\_\_\_\_ Moody's \_\_\_\_\_ S&P \_\_\_\_\_

    (or, if unavailable, Corporate Issuer Rating) Fitch \_\_\_\_\_

\_\_\_ Surety Bond

    Insurer's Legal Name \_\_\_\_\_

    Long-term Issuer Credit Rating \_\_\_\_\_ AM Best \_\_\_\_\_

    Senior Unsecured Debt Rating \_\_\_\_\_ Moody's \_\_\_\_\_ S&P \_\_\_\_\_

    (or, if unavailable, Corporate Issuer Rating) Fitch \_\_\_\_\_

**ANNUAL CRES PROVIDER REGISTRATION RENEWAL APPLICATION**

for Power Marketer Services in AEP Ohio Territory

Page 5

**9. Customer Service Information**

Customer Service Supervisor Name \_\_\_\_\_  
E-Mail Address \_\_\_\_\_  
24 Hour Customer Service Toll Free Number \_\_\_\_\_  
Remittance Address \_\_\_\_\_  
City, State, Zip Code \_\_\_\_\_  
CRES Address for AEP Ohio Website \_\_\_\_\_  
City, State, Zip Code \_\_\_\_\_  
Customer Service Website \_\_\_\_\_

**10. Scheduling Contact Information (multiple contacts are permitted)**

First and Last Name \_\_\_\_\_  
Title \_\_\_\_\_  
Telephone \_\_\_\_\_  
E-mail Address \_\_\_\_\_

**11. Certification, Authorization, and Signature:**

Applicant certifies that it will notify AEP Ohio's Customer Choice Operations, at the address shown on page 1, if any material, financial, or credit application information changes.

Applicant certifies that the information herein is complete and accurate to the best of Applicant's knowledge, information and belief, and that the individual signing below is an authorized representative of the Applicant. Applicant further certifies that Letters of Authorization (LOA), as found on the AEP Ohio website, will be obtained from all customers; and Applicant will retain and submit LOA's to the Company upon request by the Company. Applicant further acknowledges and certifies that it, as well as it's contracted EDI Vendor or In-house EDI Service will maintain necessary and timely communication and responses with AEP and also between the CRES Provider and the EDI Vendor, throughout EDI Certification Testing; and that if found to be unresponsive or uncooperative during Testing, the CRES Provider (and its contracted EDI Vendor or In-house EDI Service will be removed from the ongoing EDI Certification Flight Test and the pending CRES Provider registration will be suspended. Applicant further certifies that it will not act as an Energy Service Provider, Billing Agent, Meter Meter Service Provider, Meter Data Management Agent or Governmental Aggregator under or in connection with this Power Marketer only application.

Applicant hereby authorizes the Company to obtain any information that may be required relative to this Application from any source, including Applicant's financial and trade references. Applicant also hereby authorizes each source to provide such information.

Legal Name of Applicant \_\_\_\_\_

Signature of Authorized Representative: \_\_\_\_\_

Name: (Please Print) \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_