

POWER BROKER REGISTRATION INITIAL APPLICATION
Ohio Power Company d/b/a AEP Ohio

Application for Competitive Retail Electric Service Provider (CRES) registration ONLY as a certified Power Broker in AEP Ohio (the "Company") rate jurisdictions: Columbus Southern Power and Ohio Power. The Applicant shall email this completed and signed application and accompanying financial information to:

cresregistration@aep.com

The Applicant will not be registered as a Power Broker in AEP Ohio's service territory until (i) the Applicant's FULLY COMPLETED application is approved, (ii) an AEP Ohio applicable agreement is executed, and (iii) all other registration requirements are completed. A non-refundable registration fee of \$100.00 will be assessed when this application and subsequent renewal applications are received. This is NOT an application to be an Energy Service Provider, Billing Agent, Meter Service Provider, Meter Data Management Agent or Governmental Aggregator. Applicant must reapply on an annual basis and update the application information.

1. Applicant

Power Broker Name (Legal Name) _____
State of Incorporation _____ Years in Business _____
Federal Tax ID _____ D&B Duns # _____
Trade Name(s) (if different from Legal Name _____
Include Ohio trade name filing(s) _____
Ohio Registered Agent for Service of Process _____

Entity Type _____ Corporation - Public
_____ Corporation - Private
_____ Partnership (attach list of partners, general and limited)
_____ Limited Liability Company
_____ Other (Please indicate type below)

Internet Web Site Domain _____

Parent Company Information:

Parent Company Legal Name _____ State of Incorporation _____
Internet Web Site Domain _____

Applicant Primary Contact:

First and Last Name _____
Title _____
Address _____
City, State, Zip Code _____
E-mail Address _____
Telephone _____

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2. Power Broker Information

Customer Class(es) broker will provide brokerage services. (Mark all that apply.)
 Residential
 Mercantile
 Commercial
 Industrial

FOR OHIO POWER COMPANY POWER BROKER SERVICES
Maximum anticipated
Peak Summer Load (Estimate required) _____ (In MW)

Maximum anticipated Number of Customers to be provided broker services by Class in first year
 Residential Mercantile
 Commercial Industrial

Please confirm Applicant's dispute resolution process for customer complaints and attach document _____

Applicant's PUCO Certification Application Case Number _____ PUCO Certificate Number _____

3. Credit Information

Credit or Financial Contact Person
First and Last Name _____
Title _____
Address _____
City, State, Zip Code _____
E-mail Address _____
Telephone _____

4. Bank Reference

Bank Name: _____ Account Officer: _____
Address: _____ Account No.: _____
City, State, Zip _____

5. Financial And Credit Requirements

AEP Ohio will assess the financial risks to determine the appropriate collateral required, if any. Collateral may be reassessed at any time based on a change in financial risks. Please refer to the Company's Retail Tariff for additional information. Provide the following information for the applicant or its guarantor (if applicable):

- a) Three most recent years of audited financial statements (balance sheet, income statement, and cash flow statement) or SEC Form 10-K. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax

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returns (with social security numbers and account numbers redacted). If this is applicant's first year in business then the applicant can provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement), along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecast should be in an annualized format for the two years succeeding the Application year.

b) Most recent quarterly unaudited financial statements or monthly financial information (including a balance sheet, income statement, and cash flow statement) accompanied by an attestation by Applicant's Chief Financial Officer that the information submitted is true, correct and a fair representation of Applicant's financial condition or most recent SEC Form 10-Q.

c) Senior Unsecured Debt Rating (or, if unavailable, Corporate Issuer Rating) (Insert "N/R" if not rated) Moody's _____ S&P _____
Fitch _____

d) Parent's Senior Unsecured Debt Rating (or, if unavailable, Corporate Issuer Rating) (Insert "N/R" if not rated) Moody's _____ S&P _____
Fitch _____

e) Provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

6. Representations

	Applicant		Affiliates	
	Yes	No	Yes	No
Is the Applicant and/or its affiliates				
a) Operating under federal bankruptcy laws?	_____	_____	_____	_____
b) Subject to pending litigation or regulatory proceedings in state or federal courts and/or agencies which could impact Applicant and/or Applicant's affiliates?	_____	_____	_____	_____
c) Subject to lawsuits or outstanding judgments?	_____	_____	_____	_____
Provide information for any items above marked "Yes".				
Disclose all prior bankruptcies of Applicant and its affiliates				

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7. Financial Security Arrangements

When applicable, if the Applicant does not provide the Company with adequate evidence of creditworthiness, the Applicant must provide and maintain, in a form and/or amount acceptable to the Company, one of the following as financial security:

- a) a guarantee of payment on behalf of the applicant from a related U.S. entity who meets the minimum investment grade rating requirements in the Company's prescribed guaranty format; or
- b) an irrevocable Letter of Credit in the Company's prescribed format from a Bank with a minimum "A-" senior unsecured debt rating (or, if unavailable, corporate issuer rating) from S&P or a minimum "A3" senior unsecured debt rating (or, if unavailable, corporate issuer rating) from Moody's, valid for a period of not less than one year and renewable annually; or
- c) a cash deposit from the applicant in U.S. Dollars, provided, further if a third party is providing such cash deposit for and on behalf of the applicant, the Company may accept such deposit from a third party if it otherwise meets AEP Ohio's security requirements.

8. Customer Service Information

Customer Service Supervisor Name _____
Address _____
City, State, Zip Code _____
Direct Dial Phone Number _____
E-Mail Address _____

9. Certification, Authorization, and Signature:

Applicant certifies that it will notify AEP Ohio's Customer Choice Operations, at the above address, if any material, financial, or credit application information changes.

Applicant certifies that the information herein is complete and accurate to the best of Applicant's knowledge, information and belief, and that the individual signing below is an authorized representative of the Applicant. Applicant further certifies that Letters of Authorization (LOA) will be obtained from customers and Applicant will retain and submit LOA's to the Company upon request. Applicant further certifies that it will not act as an Energy Service Provider, Billing Agent, Meter Service Provider, Meter Data Management Agent or Governmental Aggregator under or in connection this Power Broker only application.

Applicant hereby authorizes the Company to obtain any information that may be required relative to this Application from any source, including Applicant's financial and trade references. Applicant also hereby authorizes each source to provide such information.

Legal Name of Applicant _____
Signature of Authorized Representative: _____
Name: (Please Print) _____
Title: _____
Date: _____